

# Twist Polynomial Interpolation for Binary Delta-Matroids

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# Table of Contents

- 1 Recap of Delta-Matroids
- 2 Lemmas and Main Result about Twist Polynomials
- 3 Relation with Ribbon Graphs

# Recap: Delta-Matroids, Rank, and Width

A **set system** is  $D = (E, \mathcal{F})$ , where  $E$  is finite and  $\mathcal{F} \subseteq \mathcal{P}(E)$ .

## Delta-matroid

$D$  is a **delta-matroid** if  $\mathcal{F} \neq \emptyset$  and for all  $X, Y \in \mathcal{F}$ , every  $u \in X \Delta Y$ , there exists  $v \in X \Delta Y$ , possibly  $v = u$ , such that

$$X \Delta \{u, v\} \in \mathcal{F}.$$

$$\mathcal{F}_{\min}(D) = \{F \in \mathcal{F} : |F| \text{ is minimum}\}, \quad \mathcal{F}_{\max}(D) = \{F \in \mathcal{F} : |F| \text{ is maximum}\}.$$

$$r(D_{\min}) = \min_{F \in \mathcal{F}} |F|, \quad r(D_{\max}) = \max_{F \in \mathcal{F}} |F|, \quad w(D) = r(D_{\max}) - r(D_{\min}).$$

# Recap: Twists and the Twist Polynomial

For  $A \subseteq E$ , the **twist** of  $D = (E, \mathcal{F})$  by  $A$  is

$$D * A = (E, \{A \triangle F : F \in \mathcal{F}\}).$$

$$D * \emptyset = D, \quad D * E = D^*.$$

The **twist polynomial** is

$$\partial_{w_D}(z) = \sum_{A \subseteq E} z^{w(D * A)}.$$

The coefficient of  $z^k$  counts how many twists have width  $k$ .

# Example: Computing a Twist Polynomial

Let

$$D = (\{1, 2\}, \{\emptyset, \{1\}, \{1, 2\}\}).$$

| $A$         | $\mathcal{F}(D * A)$             | $w(D * A)$ |
|-------------|----------------------------------|------------|
| $\emptyset$ | $\{\emptyset, \{1\}, \{1, 2\}\}$ | 2          |
| $\{1\}$     | $\{\emptyset, \{1\}, \{2\}\}$    | 1          |
| $\{2\}$     | $\{\{1\}, \{2\}, \{1, 2\}\}$     | 1          |
| $\{1, 2\}$  | $\{\emptyset, \{2\}, \{1, 2\}\}$ | 2          |

$$\partial w_D(z) = 2z + 2z^2.$$

# Primal Types

Let

$$\mathcal{F}_{\min+i}(D) = \{F \in \mathcal{F} : |F| = r(D_{\min}) + i\}.$$

For  $e \in E$ , the **primal type** of  $e$  is:

| Type | Condition                                                                                       |
|------|-------------------------------------------------------------------------------------------------|
| $p$  | Some minimum feasible set contains $e$ .                                                        |
| $u$  | No set in $\mathcal{F}_{\min}(D) \cup \mathcal{F}_{\min+1}(D)$ contains $e$ .                   |
| $t$  | No minimum feasible set contains $e$ , but some set in $\mathcal{F}_{\min+1}(D)$ contains $e$ . |

# Dual Type

The **dual type** of  $e$  in  $D$  is the primal type of  $e$  in

$$D^* = D * E.$$

So each element has a two-letter type:

$$pp, pu, pt, up, uu, ut, tp, tu, tt.$$

For example, type  $ut$  means primal type  $u$  in  $D$ , and primal type  $t$  in  $D^*$ .

# Width Change Under a Single Twist

The type of  $e$  determines the change in width under  $D \mapsto D * e$ .

| Type of $e$  | $w(D * e) - w(D)$ |
|--------------|-------------------|
| $pp$         | $+2$              |
| $uu$         | $-2$              |
| $pt, tp$     | $+1$              |
| $ut, tu$     | $-1$              |
| $pu, up, tt$ | $0$               |

Therefore,

$$|w(D * e) - w(D)| \leq 2.$$

# Binary Delta-Matroids

Let  $C$  be a symmetric  $|E| \times |E|$  matrix over  $\mathbb{Z}/2\mathbb{Z}$ , with rows and columns indexed by  $E$ .

Define

$$D(C) = (E, \mathcal{F}), \quad \mathcal{F} = \{A \subseteq E : C[A] \text{ is nonsingular}\}.$$

Here  $C[A]$  is the principal submatrix indexed by  $A$ , and  $C[\emptyset]$  is nonsingular by convention.

A delta-matroid  $D$  is **binary** if some twist of  $D$  is isomorphic to  $D(C)$ .

Equivalently, there exists  $A \subseteq E$  such that

$$D * A \cong D(C).$$

This binary condition is essential in the interpolation theorem.

# Example of a Binary Delta-Matroid

Let

$$C = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}$$

over  $\mathbb{Z}/2\mathbb{Z}$ , with  $E = \{1, 2\}$ .

| $A$         | $C[A]$                                         | $\det(C[A])$ |
|-------------|------------------------------------------------|--------------|
| $\emptyset$ | $C[\emptyset]$                                 | 1            |
| $\{1\}$     | $(1)$                                          | 1            |
| $\{2\}$     | $(0)$                                          | 0            |
| $\{1, 2\}$  | $\begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}$ | 1            |

Thus

$$D(C) = (\{1, 2\}, \{\emptyset, \{1\}, \{1, 2\}\}).$$

# How Many Nonequivalent Delta-Matroids?

Here “nonequivalent” means up to relabeling of  $E$  and twisting.

| $ E $ | Delta-matroids | Binary | Nonbinary |
|-------|----------------|--------|-----------|
| 2     | 5              | 5      | 0         |
| 3     | 16             | 13     | 3         |
| 4     | 90             | 40     | 50        |
| 5     | 2902           | 141    | 2761      |

## Note

The total number of nonequivalent delta-matroids grows doubly exponentially in  $|E| = n$ .

# Even/Odd Interpolating Polynomials

Let

$$p(z) = \sum_i c_i z^i.$$

Write

$$p(z) = p_e(z^2) + zp_o(z^2),$$

where  $p_e$  records the even-degree terms and  $zp_o$  records the odd-degree terms.

- $p(z)$  is **even** if only even powers appear.
- $p(z)$  is **odd** if only odd powers appear.
- $p(z)$  is **even-interpolating** if its even-degree support has no gaps.
- $p(z)$  is **odd-interpolating** if its odd-degree support has no gaps.

$1 + 3z^2 + 5z^4$  is even-interpolating.

$1 + 3z^2 + 5z^6$  has an even gap.

# Main Theorem

## Theorem (Zhao–Yan)

Let  $D = (E, \mathcal{F})$  be a **binary delta-matroid**. Then its twist polynomial

$$\partial w_D(z) = \sum_{A \subseteq E} z^{w(D * A)}$$

is either:

- ① an even polynomial,
- ② an odd polynomial, or
- ③ both even-interpolating and odd-interpolating.

# Loops, Coloops, and Deletion

Let  $D = (E, \mathcal{F})$  be a set system and  $e \in E$ .

- $e$  is a **loop** if  $e \notin F$  for every  $F \in \mathcal{F}$ .
- $e$  is a **coloop** if  $e \in F$  for every  $F \in \mathcal{F}$ .

The deletion  $D - e$  is defined by

$$D - e = (E - e, \mathcal{F}')$$

where

$$\mathcal{F}' = \begin{cases} \{F \in \mathcal{F} : F \subseteq E - e\}, & e \text{ is not a coloop,} \\ \{F - e : F \in \mathcal{F}\}, & e \text{ is a coloop.} \end{cases}$$

# Lemma 14: Primal Type Is Stable Under Deletion

## Lemma

*Let  $D = (E, \mathcal{F})$  be a delta-matroid and let  $e_1, e_2 \in E$  with  $e_1 \neq e_2$ . Then the primal type of  $e_2$  in  $D - e_1$  is the same as its primal type in  $D$ .*

**One sample case:** suppose  $e_1$  is a coloop and  $e_2$  has primal type  $p$ . Since  $e_1$  is a coloop,

$$\mathcal{F}_{\min}(D - e_1) = \{F - e_1 : F \in \mathcal{F}_{\min}(D)\}.$$

Because  $e_2$  has primal type  $p$ , there exists

$$F \in \mathcal{F}_{\min}(D) \quad \text{with} \quad e_2 \in F.$$

Then

$$F - e_1 \in \mathcal{F}_{\min}(D - e_1) \quad \text{and} \quad e_2 \in F - e_1.$$

So  $e_2$  still has primal type  $p$  in  $D - e_1$ .

# Even and Odd Delta-Matroids

A delta-matroid  $D = (E, \mathcal{F})$  is **even** if all feasible sets have the same parity of cardinality. Equivalently,

$$|X \triangle Y| \text{ is even for all } X, Y \in \mathcal{F}.$$

Otherwise,  $D$  is **odd**.

$$\mathcal{F} = \{\emptyset, \{1, 2\}\} \Rightarrow D \text{ is even.}$$

$$\mathcal{F} = \{\emptyset, \{1\}, \{1, 2\}\} \Rightarrow D \text{ is odd.}$$

# Lemma 16: The Binary Requirement

## Lemma

Let  $D = (E, \mathcal{F})$  be a **binary** delta-matroid. If every element of  $E$  has primal type  $u$  in  $D$ , then  $D$  is even.

**Proof idea:** If every element has primal type  $u$ , then no singleton is feasible. For a normal binary delta-matroid  $D = D(C)$ , this means the representing symmetric matrix  $C$  over  $\mathbb{Z}/2\mathbb{Z}$  has zero diagonal.

But an odd-sized symmetric matrix over  $\mathbb{Z}/2\mathbb{Z}$  with zero diagonal cannot be nonsingular. So no odd feasible set exists, and  $D$  is even.

# Lemma 18: Twists Commute with Deletion

## Lemma

Let  $D = (E, \mathcal{F})$  be a delta-matroid and let  $A, B \subseteq E$  with

$$A \cap B = \emptyset.$$

Then

$$(D * A) - B = (D - B) * A.$$

# Theorem 19

## Theorem

Let  $D = (E, \mathcal{F})$  be a binary delta-matroid.

If the twist width set

$$W(D) = \{w(D * A) : A \subseteq E\}$$

contains two consecutive integers  $k$  and  $k + 1$ , then every integer

$$m \quad \text{with} \quad k + 2 \leq m \leq w_M(D)$$

is also a twist width.

Here

$$w_M(D) = \max\{w(D * A) : A \subseteq E\}.$$

So once two adjacent widths occur, every larger width up to the maximum must occur.

# Theorem 19 Proof

## Theorem

*Let  $D$  be binary. If  $k$  and  $k + 1$  are twist widths of  $D$ , then every*

$$k + 2 \leq m \leq w_M(D)$$

*is also a twist width.*

Choose  $A \subseteq E$  such that

$$w(D * A) = k.$$

It is enough to show that  $k + 2$  occurs. Then we repeat the argument for

$$(k + 1, k + 2), \quad (k + 2, k + 3), \quad \dots$$

Assume, for contradiction, that  $k + 2$  does not occur. If  $w_M(D) \leq k + 1$ , there is nothing to prove. Otherwise,

$$w_M(D) \geq k + 3.$$

Twist one element at a time toward a width at least  $k + 3$ . Since each step changes width by at most 2, the first width at least  $k + 3$  must be exactly  $k + 3$ .

# Theorem 19 Proof Continued

Choose  $B \subseteq E$  of minimum cardinality such that

$$w(D * A * B) = k + 3.$$

Set

$$D' = D * A * B.$$

For  $e \in B$ ,

$$D' * e = D * A * (B - \{e\}).$$

So twisting  $e$  in  $D'$  is the same as removing  $e$  from the chosen twisting set  $B$ .  
Now use the width-change table. If  $e$  has type

$tt$ ,  $pu$ , or  $up$ ,

then

$$w(D' * e) = k + 3.$$

Thus  $B - \{e\}$  already gives width  $k + 3$ , contradicting the minimality of  $B$ .

# Theorem 19 Proof Continued

The other types are eliminated as follows.

If  $e$  has type  $pp$ ,  $pt$ , or  $tp$ , then

$$w(D' * e) \geq k + 4.$$

But  $D' * e = D * A * (B - \{e\})$ . Starting from width  $k$ , twist the elements of  $B - \{e\}$  one at a time.

Since each step changes width by at most 2, and  $k + 2$  is assumed absent, this path must hit  $k + 3$  before reaching  $k + 4$  or higher.

That gives a proper subset of  $B$  producing width  $k + 3$ , again contradicting minimality.

If  $e$  has type  $ut$  or  $tu$ , then

$$w(D' * e) = k + 2,$$

contradicting the assumption that  $k + 2$  is absent.

Therefore every element of  $B$  has type

$$uu$$

in  $D'$ .

# Theorem 19 Proof Continued

Delete all elements outside  $B$ :

$$N = D' - (E - B).$$

By Lemma 14, deletion preserves the primal type of elements in  $B$ . Hence every element of  $N$  has primal type  $u$ .

Since  $N$  is binary, Lemma 16 implies that  $N$  is even.

Now twist the elements of  $B$  back one at a time. Lemmas 14 and 18 let us check the relevant types inside twists of  $N$ . Since  $N$  is even, no element has primal or dual type  $t$ . So every step has type  $uu$ ,  $up$ ,  $pu$ , or  $pp$ , and the width change is one of

$$-2, 0, 0, +2.$$

Thus the total change must be even. But twisting all of  $B$  sends

$$D' = D * A * B \quad \text{back to} \quad D * A,$$

so the total change is

$$k - (k + 3) = -3,$$

an odd number. Contradiction.

Therefore  $k + 2$  must occur.

# Proof of the Main Theorem

Let

$$W(D) = \{w(D * A) : A \subseteq E\} = \{w_1 < w_2 < \cdots < w_t\}.$$

Since a single twist changes width by at most 2,

$$w_{i+1} - w_i \leq 2.$$

**Case 1: all widths have the same parity.**

Then the gaps are at most 2, so the widths form consecutive values of one parity. Therefore the twist polynomial is either even or odd.

**Case 2: both parities occur.**

At the first place where the parity changes, two consecutive widths occur:

$$k, \quad k + 1.$$

By Theorem 19, every larger width up to  $w_M(D)$  occurs.

Thus both the even-degree and odd-degree parts have no gaps.

$\partial w_D(z)$  is both even-interpolating and odd-interpolating.

# Ribbon Graphs and Delta-Matroids

For a ribbon graph  $G$ , define

$$D(G) = (E(G), \mathcal{F}(G)),$$

where

$$\mathcal{F}(G) = \{F \subseteq E(G) : (V(G), F) \text{ is a spanning quasi-tree}\}.$$

A **spanning quasi-tree** contains every vertex of  $G$  and has exactly one boundary component in each connected component.

## Ribbon-graphic delta-matroid

The set system  $D(G)$  is a delta-matroid. Moreover,

$D(G)$  is binary.

# Why $D(G)$ Is Binary: Proof Sketch

Choose a spanning quasi-tree  $Q$  in each connected component of  $G$ , and form the partial dual

$$H = G^Q.$$

Each component of  $H$  has one vertex. For a one-vertex ribbon graph, define a symmetric matrix  $C$  over  $\mathbb{Z}/2\mathbb{Z}$  by

$$C_{ee} = \begin{cases} 1, & e \text{ is non-orientable,} \\ 0, & e \text{ is orientable,} \end{cases}$$

and, for  $e \neq f$ ,

$$C_{ef} = 1 \iff e \text{ and } f \text{ are interlaced around the vertex.}$$

Bouchet's representation gives  $D(H) = D(C)$ .

Since partial duality corresponds to twisting,

$$D(H) = D(G^Q) = D(G) * Q.$$

Thus a twist of  $D(G)$  is represented by a symmetric matrix over  $\mathbb{Z}/2\mathbb{Z}$ , so

$D(G)$  is binary.

# Euler Genus and Width

For a ribbon graph  $G$ , let

$$v(G), e(G), f(G), c(G)$$

denote the number of vertices, edges, boundary components, and connected components.

The Euler characteristic is

$$\chi(G) = v(G) - e(G) + f(G).$$

The **Euler genus** is

$$\varepsilon(G) = 2c(G) - \chi(G).$$

A past result is

$$\varepsilon(G) = w(D(G)).$$

Thus Euler genus for ribbon graphs corresponds to width for delta-matroids.

# Theorem 19 for Ribbon Graphs

We also have

$$D(G^A) = D(G) * A, \quad \varepsilon(G^A) = w(D(G) * A).$$

Since  $D(G)$  is binary, Theorem 19 applies.

## Theorem

If

$$\{\varepsilon(G^A) : A \subseteq E(G)\}$$

*contains two consecutive integers  $k$  and  $k + 1$ , then it contains every integer*

$$k + 2 \leq m \leq \varepsilon_M(G),$$

*where*

$$\varepsilon_M(G) = \max_{A \subseteq E(G)} \varepsilon(G^A).$$

So the partial-dual polynomial has the same even/odd interpolation behavior.

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